INFORMATION DISCLOSURE STATEMENT BY APPLICANT
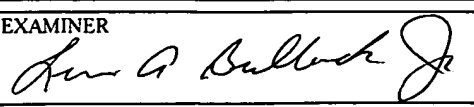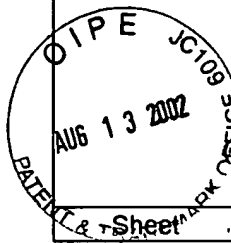Form PTO-1449 (Modified)
(Use several sheets if necessary)

COMPLETE IF KNOWN	
Application Number	09/271,614
Confirmation Number	
Filing Date	March 17, 1999
First Named Inventor	Cheyer
Group Art Unit	2156
Examiner Name	Unassigned
Attorney Docket No.	59501-8018.US01

Sheet	1	of	2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent or Application NUMBER	Kind Code (if known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear
JvB	1	5,197,005		Schwartz et al.	3/23/93	
	2	5,386,556		Hedin et al.	1/31/95	
	3	5,434,777		Luciw	7/18/95	
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OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
JvB	13	Dowding, John et al., "Gemini: A Natural Language System For Spoken-Language Understanding", SRI International	
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EXAMINER	DATE CONSIDERED
Lynne Q. Bullock Jr	12/10/02

*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	09/271,614		
AUG 1 3 2002		Confirmation Number			
		Filing Date	March 17, 1999		
	Form PTO-1449 (Modified)	First Named Inventor	Cheyer		
	(Use several sheets if necessary)	Group Art Unit	2156		
		Examiner Name	Unassigned		
Sheet	2 of 2	Attorney Docket No.	59501-8018.US01		

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Examiner Initials	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear
		NUMBER	Kind Code (if known)			
foB	17	5,802,526		Fawcett et al.	9/1/98	
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EXAMINER	DATE CONSIDERED
Lewis A Bullock Jr	12/10/02

*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

Form 1449 (Modified)	Atty Docket No. SRI1P018	Serial No.: 09/271,614
Information Disclosure Statement By Applicant	Applicant: Cheyer et al.	
	Filing Date:	Group
(Use Several Sheets if Necessary)	March 17, 1999	2784

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Group 2700

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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	B						
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	Translation No
	L							
	M							
	N							
	O							
	P							

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
_ℓ̸aß_	R	MORAN, Douglas B. and CHEYER, Adam J., "Intelligent Agent-based User Interfaces", Article Intelligence center, SRI International
_ß_	S	MARTIN, David L., CHEYER, Adam J. and MORAN, Douglas B., "Building Distributed Software Systems with the Open Agent Architecture"
_ß_	T	COHEN, Philip R. and CHEYER, Adam, SRI International, WANG, Michelle, Stanford University, BAEG, Soon Cheol, ETRI, "An Open Agent Architecture" AAAI Spring Symposium, pp1-8, March 1994
Examiner _[signature]_		Date Considered _12/9/02_

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Group 2700

Form 1449 (Modified)	Atty Docket No. SRI1P018	Serial No.: 09/271,614
Information Disclosure Statement By Applicant	Applicant: Cheyer et al.	**RECEIVED**
(Use Several Sheets if Necessary)	Filing Date: March 17, 1999	Group 2784 JUN 1 8 1999

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Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	No
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╱ᵃⁱ₃	R	JULIA, Luc E. and CHEYER, Adam J., SRI International "Cooperative Agents and Recognition Systems (CARS) for Drivers and Passengers",
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Examiner _Lew A Bullock Jr_	Date Considered _12/9/02_

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Applicant: Cheyer et al.	
	Filing Date: March 17, 1999	Group 2784

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Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	No
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fcß	R	CUTKOSKY, Mark R., ENGELMORE, Robert S., FIKES, Richard E., GENESERETH, Michael R., GRUBER, Thomas R., Stanford University, MARK, William, Lockheed Palo Alto Research Labs, TENENBAUM, Jay M., WEBER, Jay C., Enterprise Integration Technologies, "An Experiment in Integrating Concurrent Engineering Systems",
fcß	S	MARTIN, David L., CHEYER, Adam, SRI International, LEE, Gowang-Lo, ETRI, "Development Tools for the Open Agent Architecture", The Practical Application of Intelligent Agents and Multi-Agent Technology (PAAM96), London, April 1996
fcß	T	CHEYER, Adam, MARTIN, David and MORAN, Douglas, "The Open Agent architecture™", SRI International, AI Center
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Form 1449 (Modified)	Atty Docket No.	Serial No.:
	SRI1P018	09/271,614
Information Disclosure	Applicant:	
Statement By Applicant	Cheyer et al.	
	Filing Date:	Group
(Use Several Sheets if Necessary)	March 17, 1999	2784

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	No
	L							
	M							
	N							
	O							
	P							

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Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
JCB	R	Dejima, Inc., http://www.dejima.com/
JCB	S	MARTIN, David; OOHAMA, Hiroki; MORAN, Douglas; CHEYER, Adam; "Information Brokering in an Agent Architecture," Proceeding of the 2nd International Conference on Practical Application of Intelligent Agents & Multi-Agent Technology, London, April 1997
	T	

Examiner	Date Considered
C. Bullock Jr	*12/9/02*

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **RECEIVED**

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